

Date of Deposit: 01-25-01

PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. S458-J

First Inventor or Application Identifier Bennett

Title LIMITER OPTICS

Express Mail Label No. EL566016225US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Specification [Total Pages 25]
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. Drawing(s) (35 U.S.C. 113) [Total Sheets 6]
4. Oath or Declaration [Total Pages 2]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

5. Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Copy
 - b. Paper Copy (identical to computer copy)
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. Assignment Papers (cover sheet & document(s))
8. 37 C.F.R. § 3.73(b) Statement Power of
(when there is an assignee) Attorney
9. English Translation Document (if applicable)
10. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
11. Preliminary Amendment
12. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. Small Entity Statement(s) Statement filed in prior application,
(PTO/SB/09-12) Status still proper and desired
14. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No: _____

Prior application information: Examiner _____

Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)			or <input type="checkbox"/> Correspondence address below
Name	Bruce A. Jagger BRUNTON & JAGGER			
Address	P.O. Box 29000			
City	Glendale	State	California	Zip Code 91209-9000
Country	U.S.A.	Telephone	(818)549-8595	Fax (818)549-8477

Name (Print/Type)	Bruce A. Jagger	Registration No. (Attorney/Agent)	19,968
Signature	<i>Bruce A. Jagger</i>		Date 01/25/2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



01-26-01

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Express Mail No.: EL566016225US
Date of Deposit: 01/25/2001
Docket No.: S 458-J

JCS60 U.S. PTO
09/769111
01/25/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) **PATENTS**
HAROLD E. BENNETT)
For: LIMITER OPTICAL)

Date: 01/25/2001

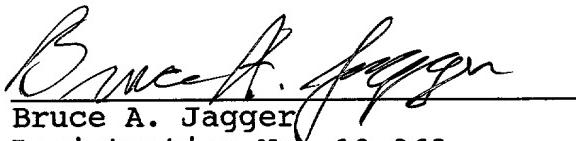
Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Transmitted herewith is a Non-Provisional Patent Application with drawings; Utility Patent Application Transmittal; Declaration for Patent Application; Fee Transmittal (x2); Verified Statements Claiming Small Entity Status; a check in the amount of \$355.00, a certificate of mailing and a return post card.

Respectfully Submitted,
BRUNTON & JAGGER

Dated: 01/25/2001


Bruce A. Jagger

Registration No. 19,968

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FEE TRANSMITTAL

for FY 1999

Patent fees are subject to annual revision.

*Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
 See 37 C.F.R. §§ 1.27 and 1.28.*

TOTAL AMOUNT OF PAYMENT (\$)**355.00**

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Harold E. Bennett
Examiner Name	
Group / Art Unit	
Attorney Docket No.	S458-J

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number

10-0231

Deposit Account Name

Bruce A. Jagger

Charge Any Additional Fee Required
 Under 37 CFR §§ 1.16 and 1.17

2. Payment Enclosed:

Check Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	760	201 380 Utility filing fee	355.00
106	310	206 155 Design filing fee	
107	480	207 240 Plant filing fee	
108	760	208 380 Reissue filing fee	
114	150	214 75 Provisional filing fee	

SUBTOTAL (1) (\$) **355.00**

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
10	-20** =	X	
Independent Claims	2 - 3** =	X	
Multiple Dependent			

**or number previously paid, if greater; For Reissues, see below

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	78	202 39 Independent claims in excess of 3
104	260	204 130 Multiple dependent claim, if not paid
109	78	209 39 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) **()**

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath			
127	50	227 25 Surcharge - late provisional filing fee or cover sheet			
139	130	139 130 Non-English specification			
147	2,520	147 2,520 For filing a request for reexamination			
112	920*	112 920* Requesting publication of SIR prior to Examiner action			
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action			
115	110	215 55 Extension for reply within first month			
116	380	216 190 Extension for reply within second month			
117	870	217 435 Extension for reply within third month			
118	1,360	218 680 Extension for reply within fourth month			
128	1,850	228 925 Extension for reply within fifth month			
119	300	219 150 Notice of Appeal			
120	300	220 150 Filing a brief in support of an appeal			
121	260	221 130 Request for oral hearing			
138	1,510	138 1,510 Petition to institute a public use proceeding			
140	110	240 55 Petition to revive - unavoidable			
141	1,210	241 605 Petition to revive - unintentional			
142	1,210	242 605 Utility issue fee (or reissue)			
143	430	243 215 Design issue fee			
144	580	244 290 Plant issue fee			
122	130	122 130 Petitions to the Commissioner			
123	50	123 50 Petitions related to provisional applications			
126	240	126 240 Submission of Information Disclosure Stmt			
581	40	581 40 Recording each patent assignment per property (times number of properties)			
146	760	246 380 Filing a submission after final rejection (37 CFR § 1.129(a))			
149	760	249 380 For each additional invention to be examined (37 CFR § 1.129(b))			
Other fee (specify) _____					
Other fee (specify) _____					

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) **()**

SUBMITTED BY

Name (Print/Type)	Bruce A. Jagger	Registration No. (Attorney/Agent)	19,968	Telephone	(818) 549-8595
Signature	<i>Bruce A. Jagger</i>				
Date	01/25/01				

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01/25/01
100-60 U.S. PTO

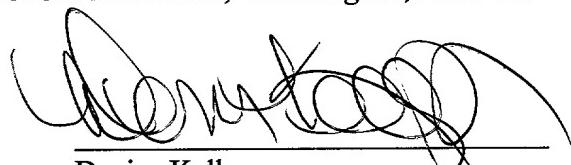
EXPRESS MAIL

CERTIFICATE OF MAILING

I, Denise Kellogg, do hereby certify that the accompanying:

**Transmittal; Non-Provisional Patent Application with six sheets of drawings;
Utility Patent Application Transmittal; Declaration for Patent Application;
Fee Transmittal (x2); Verified Statements Claiming Small Entity Status;
a check in the amount of \$355.00 and a return post card.**

Are being deposited with the United States postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, in an envelope addressed to the **Box PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231**, on this date of January 25, 2001.



Denise Kellogg

Express Mail Label No.: EL566016225US

Date of Deposit: 1/25/2001

Atty Docket No.: S458-J

Based on S.N.: 60/178,531

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